

The following received today

	<u>Item</u>	<u># of Sheets</u>
1.	"AMENDMENT D" signed and dated January 23, 1999 with "Certificate of Mailing" signed and dated January 23, 1999	9
2.	"Petition for (1 month) Extention of Time" signed and dated January 23, 1999 with "Certificate of Mailing" signed and dated January 23, 1999	1
3.	Check (#3519) for \$396	1
4.	Check (#3520) for \$55	1
5.	This self addressed stamped return post card:	1
	<b><u>TOTAL</u></b>	<b><u>13</u></b>

Serial Number : 08/338,489

Filing Date : 18 March 1997

Applicant : Jeff J. Staggs, 7474 E. Arkansas Ave. #8-10, Denver, Colorado 80231

Appn. Title : "Therapeutic Uses of Pungent Botanicals and Their Related Compounds"



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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APPLICATION NUMBER	FILED DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
08/338,489	03/18/97	STAGGS	J

JEFF J STAGGS  
7474 E ARKANSAS AVE #8-10  
DENVER CO 80231

HM12/0326

EXAMINER	
WEDDINGTON, K	
ART UNIT	PAPER NUMBER
1614	20

DATE MAILED: 03/26/99

This is a communication from the examiner in charge of your application.  
COMMISSIONER OF PATENTS AND TRADEMARKS

### NOTICE OF ALLOWABILITY

Prosecution allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously), a Notice of Allowance and Issue Fee Due or other appropriate communication will be mailed in due course.

This communication is responsive to February 1, 1999  
allowed claim(s) is/are 1-10, 35, and 51-58; renumbered 1-19

- ☒ The drawings filed on \_\_\_\_\_ are acceptable.
- ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).
- ☐ All ☐ Some ☐ None of the CERTIFIED copies of the priority documents have been
- ☐ received.
- ☐ received in Application No. (Series Code/Serial Number) \_\_\_\_\_
- ☐ received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

Certified copies not received.

- ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e).

A SHORTENED RESPONSE PERIOD FOR RESPONSE to comply with the requirements stated below is set to EXPIRE THREE MONTHS FROM THE DATE MAILED of this Office action. Failure to timely comply will result in ABANDONMENT of this application. Extensions of time are available under the provisions of 37 CFR 1.136(a).

- ☒ Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL APPLICATION, PTO-152, which discloses that the oath or declaration is deficient. A SUBSTITUTE OATH OR DECLARATION IS REQUIRED.

- ☒ Applicant MUST submit NEW FORMAL DRAWINGS

- ☐ Indicate the originally filed drawings were declared by applicant to be informal.
- ☒ Indicate changes required by the Notice of Draftperson's Patent Drawing Review, PTO-948, attached hereto or to Paper No. 17
- ☐ Indicate changes required by the proposed drawing correction filed on \_\_\_\_\_, which has been approved by the examiner.
- ☐ Indicate changes required by the attached Examiner's Amendment/Comment.

Identifying labels such as the application number (see 37 CFR 1.84(c)) should be written on the reverse side of the drawings. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official Draftperson.

- ☐ Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Any response to this letter should include, in the upper right hand corner, the APPLICATION NUMBER (SERIES CODE/SERIAL NUMBER). If applicant has received a Notice of Allowance and Issue Fee Due, the ISSUE BATCH NUMBER and DATE of the NOTICE OF ALLOWANCE should also be included.

#### Attachment(s)

- ☐ Notice of References Cited, PTO-892
- ☐ Information Disclosure Statement(s), PTO-1448, Paper No(s) \_\_\_\_\_
- ☐ Notice of Draftperson's Patent Drawing Review, PTO-948
- ☐ Notice of Informal Patent Application, PTO-152
- ☐ Interview Summary, PTO-413
- ☐ Examiner's Amendment/Comment
- ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
- ☐ Examiner's Statement of Reasons for Allowance

PTOL-37 (Rev. 1989) 08/338,489

*K. Weddington*  
KEVIN E. WEDDINGTON  
PRIMARY EXAMINER  
Art Unit 1614

★ U.S. GOVERNMENT PRINTING OFFICE: 1987-000000

The following received today

Item	# of Sheets
1. "REQUEST FOR REFUND OF EXCESS CLAIM FEES 37 CFR 1.126" signed and dated November 1, 1999 with "Certificate of Mailing" signed and dated November 1, 1999.	2
2. "PRELIMINARY AMENDMENT C" sheets 1 - 3, with "Return Post Card" stamped July 01, 1998.	
3. "AMENDMENT D" sheets 1 - 3, & 9 signed and dated January 23, 1999 with "Certificate of Mailing" signed and dated January 23, 1999 and "Return Post Card".	
4. NOTICE OF ALLOWANCE PTOL-37 mailed March 26, 1999	
5. This self addressed stamped return post card.	
<b>TOTAL</b>	<b>2</b>

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Batch Number : Q91

Serial Number : 04/138,489

Filing Date : 18 March 1997

Applicant : Jeff J. Stagg, 7474 E. Arden Ave. #8-10, Denver, Colorado 80231

Appn. Title : "Antifungal Botanic Extracts and Related Compounds" (formerly)

"Therapeutic Uses of Fungus Botanicals and Their Related Compounds"

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\*\*\*\*\*

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